

Electronic Acknowledgement Receipt

EFS ID:	1751011
Application Number:	09777987
International Application Number:	
Confirmation Number:	3216
Title of Invention:	Method of using a computerised trading system to process trades in financial instruments
First Named Inventor/Applicant Name:	Michael Spencer
Customer Number:	408
Filer:	Stephen W. Palan/Cathy Ryan
Filer Authorized By:	Stephen W. Palan
Attorney Docket Number:	56269.US
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Application Type:	Utility

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Assignee showing of ownership per 37 CFR 3.73(b).	09777987373bstatement050707.pdf	117945	no	2

Warnings:

Information:					
2	Power of Attorney	09777987poacorresadd050707.pdf	58192	no	1
Warnings:					
Information:					
3		09777987reply050707.pdf	1671967	yes	39
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Claims		2	25	
	Applicant Arguments/Remarks Made in an Amendment		26	39	
Warnings:					
Information:					
Total Files Size (in bytes):			1848104		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					